Checklist: RIA Key Partners

Use the checklist below to evaluate, capture, and manage key partners for your RIA.

Category		Partner(s)	Key point of contact
	Custodian		
Technology	CRM		
	Financial planning software		
	Investment management (i.e. trading and reporting)		
	Client portal and secure communications		
	Risk analysis		
	Fee billing		
Investment Management	Investment platform		
	TAMP (if necessary)		
	Proprietary model management		
	Asset managers (if applicable)		
Professional Services	Compliance		
	Business attorney		
	Business accountant		
	Insurance providers:		
	E&O		
	Rental		
	Employee benefits		
	Marketing		
	Website domain / hosting		
	Cybersecurity		

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