

# Exchange-traded funds.



## Position yourself for future growth in exchange-traded funds.

Exchange-traded funds (ETFs) are among the fastest-growing investment products in today's market. In a record-setting 2024, global assets under management surged by 27%, reaching \$14.6 trillion by year-end. Some industry experts anticipate this figure could more than double to \$30 trillion by 2029.<sup>1</sup>

Savvy investors—from individuals to institutions—have reaped the benefits of investing in an ETF. Benefits can include transparency, flexibility, risk management, potential tax benefits, and aiding in portfolio diversification. It is critical as the ETF industry continues to expand, the products you offer are positioned to capture future growth. We remain committed to the evolving ETF landscape by monitoring industry trends, responding to market developments, and maintaining a consistent focus on supporting our clients' objectives.

Our integrated, turnkey solution includes fund account and administration, authorized participant (AP) processing, custody and index receipt agent, distribution services, and compliance support.

### The experience you need to navigate the ETF marketplace with ease

Whether you're an investment manager launching a new ETF or an experienced industry player looking to grow your ETF, we are in a unique position to successfully help guide you through the ETF marketplace. Our entire infrastructure is designed to meet the data needs of sponsors today and prepare them to thrive tomorrow.

Our team of innovative and experienced staff, along with our end-to-end solution, is customized to fit your products and existing systems. This allows you to create a more satisfying experience for your investors and investigate new sectors and markets for future ETF development.

Our platform offers full fund accounting and administrative services, including production of the portfolio composition file ("PCF"), or "basket," which is maintained in our investment accounting system. Our team reviews and maintains the basket for nightly dissemination and works closely with the portfolio management team to accurately record any corporation actions.

Building a strategic partnership with us allows you to focus on your core investment-related activities while using our broad resources to manage the middle- and back-office functions required to support an ETF product launch.

# **Benefit from increased efficiency and transparency.**

As the ETF sector continues to grow, you need an industry leader dedicated to providing innovative and transformational capabilities. As a pioneer in the ETF industry, we bring a deep understanding and expertise to the growing complexities of distribution, compliance, and product strategy. Our fully automated, web- based processing system for ETFs can help increase your overall efficiency and transparency by connecting the authorized participants (APs), sponsor, distributor, custodians, and transfer agents in one straight-through electronic process.

## **The speed and convenience you need to succeed**

- Streamline submission and review of ETF creation and redemption transactions with our centralized order entry location.
- Enhanced flexibility for users allows APs to easily replace an order prior to cutoff times, and participants can effortlessly access their historical records.
- An instantaneous affirmation process acknowledges orders, followed by an electronic confirmation process.

## **The right risk mitigation strategies**

- In an effort to prevent erroneous trades, we created a multifaceted process that introduces numerous checks and balances while maintaining a seamless and efficient user experience.
- Fund parameters and exceptions set by the sponsors are incorporated into the system and automatically assist the AP with the trade input.
- Our centralized operations team performs multiple tolerance reviews based on mutually agreed-upon standards.

## **Additional transparency for sponsor**

- Log in throughout the day to monitor your activity.
- View a snapshot of your business in real time, as transactions occur.

# ETF services designed to keep you ahead of the competition.

You need customizable technology nimble enough to fit your current specific operating needs and also adapt to the future of the ETF business. We can supply that infrastructure as well as the services you need to keep you ahead of the competition. Our middle- and back-office functions include:



## Accounting and administration

### Our platform offers full fund accounting and administration services:

- Regulatory report production and distribution
- Portfolio composition file production
- Portfolio compliance support
- Production of the premium and discount calculations
- Board support and management
- Verification of current day's dividend and corporate actions activity
- Tax services
- Performance reporting



## Trade order processing

### Our system incorporates fund parameters and exceptions set by the sponsors to automatically assist with trade input. Our innovative platform also allows APs to:

- Submit and review ETF creation and redemption transactions through our secure web-based platform
- Easily update an order prior to cutoff time and access historical records
- Acknowledge and confirm orders through an instantaneous affirmation process followed by an electronic confirmation process



## Distribution

### Legal support

- Provide compliance training and support
- Address day-to-day legal and regulatory questions
- Liaise with Trust counsel on matters that include registration and reporting requirements on behalf of the Trust
- Manage Board of Trustees meetings

### Marketing and sales

- Generate, profile, and qualify leads
- Educate advisors, research teams, and decision-makers about your product and brand
- Build relationships and develop business within various distribution channels
- Manage SEC and FINRA legal review and filing of marketing materials
- Provide assistance with product design, structure, underwriting support, and distribution

### Call/contact center

- Staffed by Series 7 licensed registered representatives
- Provide broker-dealer and investor services
- Generate responses to inbound, outbound, and email queries
- Perform targeted calling campaigns and surveys
- Perform lead capture activities

### About SEI's Investment Managers business

SEI's Investment Managers business provides advanced operating infrastructure for investment organizations of all types to evolve and compete in a landscape of escalating business challenges. SEI's global operating platform delivers customized and integrated capabilities across a wide range of investment vehicles, strategies, and jurisdictions to investment managers and asset owners. The company's services enable users to gain scale and efficiency, keep pace with marketplace demands, and run their businesses more strategically.

For more information, visit [seic.com/ims](https://seic.com/ims).

### About SEI®

SEI (NASDAQ:SEIC) is a leading global provider of financial technology, operations, and asset management services within the financial services industry. SEI tailors its solutions and services to help clients more effectively deploy their capital—whether that's money, time, or talent—so they can better serve their clients and achieve their growth objectives. As of June 30, 2025, SEI manages, advises, or administers approximately \$1.7 trillion in assets. For more information visit [seic.com](https://seic.com).

### Sources

<sup>1</sup>PwC Global ETF Survey 2024 – “ETFs 2029: The path to US\$30 trillion”, published Mar 2025, <https://www.pwc.com/gx/en/industries/financial-services/assets/etf-survey-2025.pdf>

### Disclosures

Services may be provided by SEI Global Services, Inc.; SEI Investments Distribution Co.; SEI Institutional Transfer Agent, Inc; SEI Private Trust Company, a federally chartered limited purpose savings association; SEI Trust Company; SEI Investments Global Fund Services; SEI Investments–Global Fund Services Limited; SEI Investments–Depositary and Custodial Services (Ireland) Limited; SEI Investments–Luxembourg S.A.; and SEI Investments Global (Cayman) Limited, which are wholly owned subsidiaries of SEI.

### For more information

Call: 610-676-1270

Email: [SEIInvestmentManagerServices@seic.com](mailto:SEIInvestmentManagerServices@seic.com)

Visit: [seic.com/ims](https://seic.com/ims)

### United States

Corporate Headquarters  
1 Freedom Valley Drive  
P.O. Box 1100  
Oaks, PA 19456  
+1 610 676 1270

666 Third Avenue  
25th Floor  
New York, NY 10017  
+1 212 336 5300

### Ireland

One Charlemont Square  
Level 2  
Dublin 2, D02 X9Y6  
Ireland  
+353 1 638 2400

### Luxembourg

26, Boulevard Royal  
L-2449 Luxembourg  
+352 27 00 2750

### United Kingdom

1st Floor Alphabeta  
14-18 Finsbury Square  
London EC2A 1BR  
+44 (0)20 3810 7570



[seic.com](https://seic.com)

1 Freedom Valley Drive  
P.O. Box 1100  
Oaks, PA 19456  
610-676-1000

